

Indiabulls Securities Limited (formerly Dhani Stocks Limited)

Registered Office Address: 1/1 E, First Floor, East Patel Nagar, New Delhi - 110008
Correspondence Office Address: Plot No. 108, 5th Floor, IT Park, Phase I, Udyog Vihar, Gurugram - 122016, Haryana. Corporate Identity Number (CIN): U74999DL2003PLC122874;
Website: www.dhanistocks.com ; **Email:** helpdesk@dhani.com ; grievances_dsl@dhani.com
Phone: 022-61446300 **Fax:** 0124 6681111

 (1)

Please affix your recent passport size photograph and sign across it

Trading Code															
Demat ID (1)															
Demat ID (2)															
Demat ID (3)															

1. PERSONAL DETAILS* (Please refer instruction and checklist available at the end)




	Prefix	First Name	Middle Name	Last Name					
Name of the Applicant (as per PAN Website)									
Name of the applicant (same as ID Proof)									
Father / Spouse Name									
Mother Name									
Date of Birth (DD/MM/YYYY)	D	D	M	M	Y	Y	Y	Y	Gender : <input type="checkbox"/> M - Male <input type="checkbox"/> F – Female <input type="checkbox"/> T - Transgender
Marital Status: <input type="checkbox"/> Unmarried <input type="checkbox"/> Married <input type="checkbox"/> Others	Citizenship <input type="checkbox"/> IN-Indian <input type="checkbox"/> Others (please specify) _____		Residential Status: <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <input type="checkbox"/> Foreign National (Passport copy mandatory for NRIs and Foreign Nationals) <input type="checkbox"/> Person of Indian Origin						
PAN *									Please enclose a duly attested copy of your PAN card

2. Proof of Identity and Address.* Please tick (√) – Please refer instruction and checklist available at the end.

(Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted.(Any one of the following OVDs))

<input type="checkbox"/> A -Passport Number	Passport Expiry Date	
<input type="checkbox"/> B -Voter ID Card		
<input type="checkbox"/> C - Driving Licence	Driving Licence Expiry Date	
<input type="checkbox"/> D - NREGA Job Card		
<input type="checkbox"/> E - National population register letter		
<input type="checkbox"/> F - Proof of Possession of Aadhaar ^	X	
<input type="checkbox"/> EKYC Authentication ^	X	
<input type="checkbox"/> Offline verification of Aadhaar ^	X	

^ Please enter only the last 4 digits of your UID / Aadhaar

	Sole / First Holder (Mr./Ms.)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Signature	 (2)	 (2)	 (2)

Address

Address Type	<input type="checkbox"/> Residential / Business <input type="checkbox"/> Residential <input type="checkbox"/> Business		
Permanent Address			
City/Town/Village		District	
State		Country	
PIN/ Post Code		State / U.T. Code	ISO 3166 Country Code *

3. CURRENT ADDRESS DETAILS




<input type="checkbox"/> Please tick (✓) Current Address is same as above address

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted.(Any one of the following OVDs))			
<input type="checkbox"/> A -Passport Number		Passport Expiry Date	
<input type="checkbox"/> B -Voter ID Card			
<input type="checkbox"/> C - Driving Licence		Driving Licence Expiry Date	
<input type="checkbox"/> D - NREGA Job Card			
<input type="checkbox"/> E - National population register letter			
<input type="checkbox"/> F – UID (Aadhaar) ^	X		
II <input type="checkbox"/> EKYC Authentication ^	X		
III <input type="checkbox"/> Offline verification of Aadhaar ^	X		
^ Please enter only the last 4 digits of your UID / Aadhaar			
IV <input type="checkbox"/> Deemed Proof of Address – Document Type Code			
V <input type="checkbox"/> Self-Declaration			

Current Address			
City/Town/Village		District	
State		Country	
PIN/ Post Code		State / U.T. Code	ISO 3166 Country Code

4. Contact Details (all communications will be sent on provided Mobile No. / Email ID) (Please refer instruction and checklist available at the end) *

Telephone (Off.)	
Telephone (Res.)	
Email ID	
Mobile Number	

	Sole / First Holder (Mr./Ms.)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Signature	 (3)	 (3)	 (3)

FOR OFFICE USE ONLY

KYC Verification Carried Out by: Institution – Indiabulls Securities Limited (Formerly Dhani Stocks Limited; Institution Code – IN0157)

Documents received	<input type="checkbox"/> Certified Copies <input type="checkbox"/> E-KYC data received from UIDAI <input type="checkbox"/> Data received from Offline Verification <input type="checkbox"/> Digital KYC Process <input type="checkbox"/> Equivalent E-document <input type="checkbox"/> Video based KYC							
Application Type: <input type="checkbox"/> New <input type="checkbox"/> Update								
KYC Number (Mandatory for KYC Update Request):								
Account Type: <input type="checkbox"/> Normal <input type="checkbox"/> Aadhaar OTP based E-KYC (in non-face to face mode)								
In-Person Verification (IPV) carried out by								
Name								
Code								
Designation								
Date	D	D	M	M	Y	Y	Y	Y
Place								
Signature	☞							
SEAL/STAMP of Indiabulls Securities Limited (formerly Dhani Stocks Limited)								

Important Instructions –

- Fields marked with * are mandatory details
- Tick ' v ' wherever applicable
- Please fill the form in English and in BLOCK Letters.
- Please fill the date in DD-MM-YYYY format.
- For particular section update, please tick (' v ') in the box section number and strike off the sections not required to be updated.
- Please read the section wise detailed guidelines / instructions at the end.
- List of States / U.T. Code as per Indian Motor Vehicle Act, 1988 is available at the end.
- List of two character ISO 3166 country codes is available at the end.
- The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode.

Checklist:

- The name should match with the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected
- One of the following is mandatory – Mother's name, Spouse's name, Father's name
- Incase of deemed POA, such as utility bill, etc. or self-declaration, the document need not be uploaded on CKYCR
- PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses
- List of document for 'Deemed Proof of Address'

Document Code	Description
01	Utility bill which is not more than 2 months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or municipal tax receipt
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, Public Sector Undertakings, Scheduled Commercial Banks, financial institutions and listed companies and leave and license agreements with such employers allotting official accommodation.

7. Regulated entity (RE) shall redact (first 8 digits) of Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading CKYCR
8. "Equivalent E-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per Rule 9 of Information Technology (Preservation And Retention Of Information By Intermediaries Providing Digital Locker Facilities Rules) 2016.
9. "Digital KYC has to be carried out as stipulated in the PML Rules, 2005.
10. Registered Entity may use the Self-declaration check box where Aadhaar Authentication has been carried out successfully for a client and client wants to provide current address, different from the address as per the identity information available in Central Identities Data Repository
11. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
12. Do not add '0' in the beginning of Mobile number

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988					
State / U.T	State Code	State / U.T	State Code	State / U.T	State Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI

Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU

Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		