

SECURITIES KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

Indiabulls Securities Limited (formerly Dhani Stocks Limited)

Registered Office Address: 1/1 E, First Floor, East Patel Nagar, New Delhi - 110008

Correspondence Office Address: Plot No. 108, 5th Floor, IT Park, Phase I, Udyog Vihar,
Gurugram - 122016, Haryana. Corporate Identity Number (CIN): U74999DL2003PLC122874;
Website: www.dhanistocks.com; Email: helpdesk@dhani.com; grievances_dsl@dhani.com

Please affix your recent passport size photograph and sign across it

Phone: 022-61446300 Fax: 0124 6681111

(2)

Signature

(1)

Trading Code						_								_					
Demat ID (1)																			
Demat ID (2)																			
Demat ID (3)																			
☐ 1. PERSONAL	DETAILS*	_		_	instru					st ava	ilab				1				
Name of the A	nnlicant		Pref	TIX	ix First Name							Midd	іе ма	me		Last Name			
(as per PAN We																			
Name of the ap	-																		
(same as ID Pro																			
Father / Spous	e Name																		
Mother Name																			
Date of Birth (DD/MM/YYYY)		D	D	М	M	/ Y	Υ	Υ	Ger	nder :		M - Male	e 🗆 l	F – Fei	male	□ T	- Trar	ısgeno	der
Marital	Citizens	hip			Resi	dent	ial S	Stat	us:										
Status:	□ IN-Ind	dian			□Re	eside	nt lı	ndiv	vidual	I□N	on F	Residen	t India	an 🗆	Forei	gn Naf	tional	(Pass	port
□ Unmarried	□ Othe	ers (p	olea	se	copy	y mai	ndat	tory	y for N	NRIs a	nd F	oreign	Natio	nals)					
☐ Married	specify)			_	□ P	ersor	of	Ind	ian O	rigin									
□ Others	<u> </u>	1	ı	-															
PAN *										Ple		enclose	e a du	ıly atte	ested	сору	of you	ur PAI	٧
☐ 2. Proof of Id (Certified copy submitted.(Any	of OVD or	equ	ival	ent e	-docu														
☐ A -Passport N		101	IOVV	iiig O	V D3//			Pas	sport	Expir	v Da	ate							
☐ B -Voter ID C									•	•									
☐ C - Driving Lie								Driv	ving L	icence	e Ex	piry Dat	e						
□ D - NREGA Jo	b Card												•						
☐ E - National p	opulation	reg	iste	rlette	er														
☐ F - Proof of P	ossession	of A	adh	aar ^		X													
☐ EKYC Authen						X											<u> </u>		
□ Offline verific						X											\perp		
^ Please enter o	only the la	st 4	digit	ts of y	our L	JID / .	Aad	hac	ar										
5	Sole / First	Hol	der	(Mr.,	/Ms.)		9	Sec	ond F	lolder	(M	r./Ms.)		Т	hird F	lolde	r (Mr.	/Ms.)	

(2)

P(2)

Address																
Address Ty	pe	□ F	Resid	entia	I / Bu	sines	SS		Residentia	ıl		Busir	ness			
Permanent	.															
Address																
City/Town/	/Village							District								
State								Country	1							
PIN/ Post C	Code							State / I	J.T. Code				66 Country			
											С	ode *				
. CURRENT	ADDRES	S DE	TAILS	6												
☐ Please ti	ick (√) (urrer	nt Ad	dress	s is sa	me a	s ab	ove addr	ess							
		0) (5)							0)/5		1.1					
 Certified be submitte 								nt of OVL	or OVD o	btain	ed throu	igh di	gital KYC pi	roce	ss need	Is to
			i tile	10110	willig	OVD	۱(د	Dagge	aut Frain.	Data						
☐ A -Passpo		ber						Passp	ort Expiry	nate						
□ B -Voter											. D					
□ C - Drivin			_					Drivir	ng Licence	Expir) Date					
□ D - NREG																
□ E - Natio			n regi	ister	letter				ı							
□ F – UID (X									
II □ EKYC A	uthentic	ation	^				Х									
III 🗆 Offline	e verifica	tion o	of Aa	dhaa	r ^		X									
									^ Please ei	nter o	nly the I	ast 4	digits of yo	ur U	IID / Ac	ıdhaar
IV 🗆 Deem	ed Proof	of Ac	ddres	s – D	ocum	ent ⁻	Гуре	Code								
V □ Self-De	eclaratio	n														
Current Ad	dress															
City/Town/	/Village	1						District								
State	Village							Country	,							
PIN/ Post C	Code								J.T. Code		IS	O 316	66 Country			
											Co	ode				
. Contact D	Details (a	ıll coı	mmu	nicat	ions	will	be s	ent on p	rovided M	obile	No. / E	mail I	I D) (Please	refe	er instr	uction
hecklist ava	_										<u> </u>		· •			
Telephone	(Off.)	Ţ					_				_	_				
Tolonhana	(Doc.)															
Telephone	(עקפי)															
Email ID																
Mobile Nur	mhor															
ivionile ivul	ווטפו															
	Sole	/ Eiro	+ 4~1	dor/	N/1 × /1	Mc \	1	Sacar	ıd Holder (N/1× /1	Mc \		Third Ho	ldo-	/N/r /n	Ac \
		/ FIFS	ι Π ΟΙ	uer (ivir./ľ	vis.)	+		iu noider (ivir./l	v15./	-		uer	(11/11/1/	15.]
Signature	(3)							(3)				(B)	(3)			

Pa	ge	2	of	7

range per ann		tails (income	□ < 1 Lac	□ 1-	5 Lac	□ 5	- 10 La	ac [10 -	25 La	С		
range per ann	iuiii)		□ (>) Ab	oove 25 La	С								
(OR) Net wort	th			As on da	ite [D	D	М	М	Υ	Υ	Υ	Υ
Net worth sho	ould not b	e older than 1 y	ear	L							I	I	ı
Occupation Ty	ype												
□ S – Service	(□ Privat	e Sector 🗆 Pub	lic Sector [☐ Governr	ment Sed	ctor)							
□ O – Others	(□ Profe	ssional Self E	mployed [☐ Retired	☐ Hou	ısewi	fe □	Stude	ent)				
☐ B – Busines	SS	☐ X – Not Cate	gorised [] Agricultu	uralist	□ O	ther						_
Please tick if		☐ Politically Ex	posed Pers	son (PEP)		□R	elated	to a P	olitical	ly Exp	osed P	erson	(PEP)
applicable Not Applicable													
Any other Info	ormation												
					, .				• • •	••			
	<u> </u>	ease consult you	•	•			•			•	•		
		e would be take	en as availa	ble in KRA	A databa	se. I	ncase	of any	chang	ge plea	ise apı	oroach	KRA
& notify the c Place of Birth				T			Rirth						
					Country	y ot l	יון ווע						
Are you a tax i		f any country of	her than In	ıdia?	Countr	y of		Yes		No.			
	resident o	f any country ot I countries in wh						Yes and th	□ I e asso		Тах р	urpose	es and
If yes, please i the associated	resident o indicate al d Tax ID Nu	l countries in whumbers below	nich you are	e a residen	nt for tax	· ‹ pur	poses a	and th	e asso	ciated	<u> </u>	urpose	es and
If yes, please i the associated	resident o indicate al d Tax ID Nu	l countries in wh	ich you are		t for tax	opur	poses a	and th	e asso	ciated	pe ·	urpose	
If yes, please i the associated	resident o indicate al d Tax ID Nu	l countries in whumbers below	ich you are	e a residen dentificati	t for tax	opur	poses a	and th	e asso	ciated	pe ·	<u> </u>	
If yes, please i the associated S. No Co	resident o indicate al d Tax ID Nu	l countries in whumbers below	ich you are	e a residen dentificati	t for tax	opur	poses a	and th	e asso	ciated	pe ·	<u> </u>	
If yes, please i the associated S. No Co	resident o indicate al d Tax ID Nu	l countries in whumbers below	ich you are	e a residen dentificati	t for tax	opur	poses a	and th	e asso	ciated	pe ·	<u> </u>	
If yes, please is the associated S. No Co. 1. 2. 3. *** to also in	resident o indicate al d Tax ID Nu ountry of 1	I countries in whumbers below Tax Residency * A, where the indi	* Tax Io	e a residen dentificati nctional Eq	on Num	t ~	poses a	Ider [TIN	e assontificat	ciated	pe ·	<u> </u>	
If yes, please is the associated S. No Co. 1. 2. 3. *** to also in	resident o indicate al d Tax ID Nu ountry of 1	l countries in whumbers below Tax Residency *	* Tax Io	e a residen dentificati nctional Eq	on Num	t ~	poses a	Ider [TIN	e assontificat	ciated	pe ·	<u> </u>	
If yes, please i the associated S. No Co 1. 2. 3. ** to also ii ~ Incase Tai	resident o indicate al d Tax ID No buntry of T	I countries in what where the indication Number is	* Tax log / Fun	e a residen dentificati nctional Eq Citizen/Gre ble, kindly p	on Num juivalent een Card	t ~	poses a	Ider [TIN	e asso ntificat I or otl	ciated	rpe ease s	pecify	<u> </u>
If yes, please is the associated S. No Co 1. 2. 3. ** to also in ~ Incase Tai	resident o indicate al d Tax ID No buntry of 1 nclude USA ax Identificate /We have	I countries in whumbers below Tax Residency * A, where the indi	* Tax lo / Fun	dentificati nctional Eq Citizen/Greale, kindly p	on Num juivalent een Card provide is	t ~	poses a (TIN)	Ider [TIN	e asso ntificat l or otl	ciated ciated rion Tyner; pl	rpe ease s	pecify]	I

	Sole / First Holder (Mr./Ms.)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Signature	(4)	(4)	F (4)

DECLARATION: I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

	S	Sole / First Holder (Mr./Ms.)						Second Holder (Mr./Ms.)				Third Holder (Mr./Ms.)
Signature		(5)					@	(5)				© (5)
Date		D	D	М	М	Υ	Υ	Υ	Υ	Place		

FOR OFFICE USE ONLY

KYC Verification Carried Out by: Institution – Indiabulls Securities Limited (Formerly Dhani Stocks Limited; Institution Code – IN0157)

Documents	□ Certi	fied Copi	ies 🛚	⊒ E-KYC o	data rec	eived fro	om UIDA	I □ Data r	eceived from Offline Verification
received	□ Digit	al KYC Pr	ocess \Box	Equival	ent E-do	ocument	t □ Vide	o based K	/C
Application Type:	□ New	□ Upda	ite						
KYC Number (Ma	ndatory	for KYC L	Jpdate R	equest):					
Account Type:	Normal								
In-Person Verifica	ation (IP	V) carrie	d out by						
Name									SEAL/STAMP of Indiabulls
Code									Securities Limited (formerly Dhani Stocks Limited)
Designation									- Briain Stocks Emiliedy
Date	D	D	М	М	Υ	Υ	Υ	Υ	
Place									
Signature	Ø₽								

Important Instructions –

- 1. Fields marked with * are mandatory details
- 2. Tick '√' wherever applicable
- 3. Please fill the form in English and in BLOCK Letters.
- 4. Please fill the date in DD-MM-YYYY format.
- 5. For particular section update, please tick (' \lor ') in the box section number and strike off the sections not required to be updated.
- 6. Please read the section wise detailed guidelines / instructions at the end.
- 7. List of States / U.T. Code as per Indian Motor Vehicle Act, 1988 is available at the end.
- 8. List of two character ISO 3166 country codes is available at the end.
- 9. The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode.

Checklist:

- 1. The name should match with the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected
- 2. One of the following is mandatory Mother's name, Spouse's name, Father's name
- 3. Incase of deemed POA, such as utility bill, etc. or self-declaration, the document need not be uploaded on CKYCR
- 4. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- 5. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses
- 6. List of document for 'Deemed Proof of Address'

Document Code	Description
01	Utility bill which is not more than 2 months old of any service provider (electricity,
	telephone, post-paid mobile phone, piped gas, water bill).
02	Property or municipal tax receipt
03	Pension or family pension payment orders (PPOs) issued to retired employees by
	Government Departments or Public Sector Undertakings if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or
	Central Government Departments, statutory or regulatory bodies, Public Sector
	Undertakings, Scheduled Commercial Banks, financial institutions and listed companies
	and leave and license agreements with such employers allotting official accommodation.

- 7. Regulated entity (RE) shall redact (first 8 digits) of Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading CKYCR
- 8. "Equivalent E-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per Rule 9 of Information Technology (Preservation And Retention Of Information By Intermediaries Providing Digital Locker Facilities Rules) 2016.
- 9. "Digital KYC has to be carried out as stipulated in the PML Rules, 2005.
- 10. Registered Entity may use the Self-declaration check box where Aadhaar Authentication has been carried out successfully for a client and client wants to provide current address, different from the address as per the identity information available in Central Identities Data Repository
- 11. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-99999999).
- 12. Do not add '0' in the beginning of Mobile number

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988											
State / U.T	State Code	State / U.T	State Code	State / U.T	State Code						
Andaman & Nicobar	AN	Himachal Pradesh	НР	Pondicherry	PY						
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB						
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ						
Assam	AS	Karnataka	КА	Sikkim	SK						
Bihar	BR	Kerala	KL	Tamil Nadu	TN						
Chandigarh	СН	Lakshadweep	LD	Telangana	TS						
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR						
Dadra and Nagar Haveli	DN	Maharashtra	МН	Uttar Pradesh	UP						
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA						
Delhi	DL	Meghalaya	ML	West Bengal	WB						
Goa	GA	Mizoram	MZ	Other	XX						
Gujarat	GJ	Nagaland	NL								
Haryana	HR	Orissa	OR								

List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	МО	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	МК	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	Al	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	МН	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI

Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	so
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	ВН	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	ВВ	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	ВМ	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	ВТ	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	во	Guam	GU	Myanmar	ММ	Switzerland	СН
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	Ю	Haiti	НТ	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	НМ	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	тк
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	то
Burundi	ВІ	Hong Kong	НК	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	КН	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	СМ	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	ОМ	Turks and Caicos Islands	тс
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	СС	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	СО	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU

Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	СК	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	СУ	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		