

Segregation and Monitoring of Collateral at Client Level

SEBI vide circular <u>SEBI/HO/MRD2_DCAP/CIR/2021/0598</u> dated July 20, 2021 has put in place a framework for Segregation and Monitoring of Collateral at Client Level.

In this regards, please find below the relevant circulars issued by the stock exchanges for further reference:

NSE circulars:

- o NCL/CMPL/49348 dated August 20, 2021
- o <u>NCL/CMPL/49640</u> dated September 17, 2021
- o <u>NCL/CMPL/49764</u> dated September 29, 2021

BSE circulars:

- o <u>20210720-48</u> dated July 20, 2021
- o <u>20211109-52</u> dated November 9, 2021

MCX circulars:

- MCX/INSP/458/2021 dated July 26, 2021
- MCX/MCXCCL/539/2021 dated August 20, 2021
- MCX/MCXCCL/605/2021 dated September 20, 2021
- MCX/MCXCCL/634/2021 dated October 1, 2021